

21716  
# BT  
3-19-03

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing) PATENT & TRADEMARK OFFICE MAR 11 2003	Application Number	09/996,077
	Filing Date	November 27, 2001
	First Named Inventor	Jeffrey Grainger
	Art Unit	2171
	Examiner Name	Unassigned
Total Number of Pages in This Submission	Attorney Docket Number	021737-000710US

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ENCLOSURES (Check all that apply)		
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Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual	Townsend and Townsend and Crew LLP William L. Shaffer Reg. No. 37,234	
Signature	<i>William L. Shaffer</i>	
Date	3/5/03	

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PATENT  
Attorney Docket No.: 021737-000710US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

JEFFRY J. GRAINGER

Application No.: 09/996,077

Filed: November 27, 2001

For: METHOD OF DEFINING  
WORKFLOW RULES FOR  
MANAGING INTELLECTUAL  
PROPERTY

Examiner: Unassigned

Art Unit: 2171

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

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Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed.

Also enclosed is a copy of the International Search Report corresponding to the related PCT application PCT/US01/44441.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the

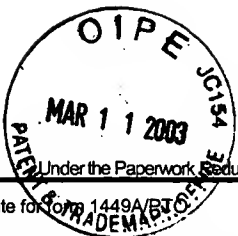
undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "William L. Shaffer", written in a cursive style.

William L. Shaffer  
Reg. No. 37,234

TOWNSEND and TOWNSEND and CREW LLP  
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WLS:rn timer  
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PTO/SB/08A (10-01)  
Approved for use through 10/31/2002. OMB 0651-0031  
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Substitute for Form 1449A (PTO)

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

### Complete if Known

Application Number	09/996,077
Filing Date	November 27, 2001
First Named Inventor	Jeffry Grainger
Art Unit	2171
Examiner Name	Unassigned
Attorney Docket Number	021737-000710US

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### U.S. PATENT DOCUMENTS

Examiner	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	1	6,434,580	08-13-2002	Takano et al.	
	2	6,239,802	05-29-2001	Lahey et al.	
	3	6,182,078	01-30-2001	Whitmyer	
	4	6,073,108	06-06-2000	Peterson	
	5	6,049,811	04-11-2000	Petruzzi et al.	
	6	6,049,801	04-11-2000	Whitmyer	
	7	6,014,663	01-11-2000	Rivette et al.	
	8	5,895,468	04-20-1999	Whitmyer	
	9	5,754,840	05-19-1998	Rivette et al.	
	10	5,329,447	07-12-1994	Leedom	
	11	5,319,745	06-07-1994	Vinsonneau et al.	
	12	5,182,705	01-26-1993	Barr et al.	
	13	5,175,681	12-29-1992	Iwai et al.	

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	14	PCT	WO 01/08031	A1	02-01-2001			<input type="checkbox"/>

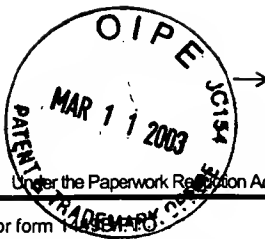
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1-100, PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 2

### Complete if Known

Application Number	09/996,077
Filing Date	November 27, 2001
First Named Inventor	Grainger, Jeffrey J.
Art Unit	2171
Examiner Name	Unassigned
Attorney Docket Number	021737-000710US

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	15	USPTO <u>EPave Users Guide</u> , entire document, Washington, DC (2000).	
	16	SCHWEGMAN et al. <u>Using the Internet to Support Your Practice in Minnesota</u> , Lorman Education Services, pp. 3-161 (2000).	

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